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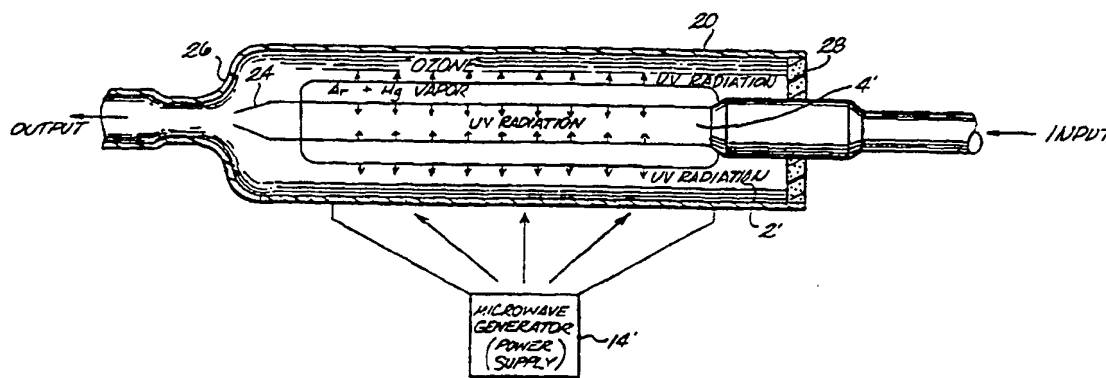
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Published

With international search report.  
With amended claims and statement.

(54) Title: ELECTRODELESS STERILIZER USING ULTRAVIOLET AND/OR OZONE



(57) Abstract

A sanitizer uses a radiant energy source such as a microwave source (14') to excite a gas contained in a bulb (2') so that the gas produces ultraviolet radiation that can be used to sanitize substances exposed to the radiation. The ultraviolet radiation may also be used to generate ozone from oxygen in air or another gas containing oxygen and the ozone may be used by itself or in combination with ultraviolet exposure to sanitize substances. The bulb for generating ultraviolet radiation can be shaped so that substances to be sterilized are able to pass through the bulb inner passageway (4'), so that objects are enclosed by the bulb and shielded from the radiant energy source, or so that the bulb is located at the end of a waveguide and can be positioned to sanitize inaccessible surfaces or substances.

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## ELECTRODELESS STERILIZER USING ULTRAVIOLET AND/OR OZONE

### Field of the Invention

The present invention relate to a sterilizer for sanitizing or disinfecting a substance. More particularly, the invention relates to an ultraviolet sterilizer responsive to a radiant energy source which produces ozone for enhanced sterilization.

### Background of the Invention

The surface sterilizing effects of ultraviolet radiation has been described in the patent literature. One of the earlier patents to describe such a phenomenon is in U.S. Patent No. 2,407,379 where an ultraviolet lamp for surface sterilization and general bactericidal use is disclosed.

The concept of using ultraviolet radiation for surface sterilization is well known. For example, U.S. Patent No. 4,803,364 describes a toothbrush conditioner which has a housing with an ultraviolet source inside for sterilizing a toothbrush. U.S. Patent No. 4,448,750 describes a method for disinfecting and/or sterilizing small objects wherein the object to be disinfected or sterilized is vibrated at a frequency range of 8 to 300 KHz while being exposed to an ultraviolet source.

While the above-referenced methods of surface sterilization may find some applications, they are expensive, cumbersome, difficult to use, and have not enjoyed wide commercial success. Moreover, external power sources coupled to the ultraviolet lamp through electrical connectors are typically required.

In an attempt to overcome this problem, U.S. Patent No. 5,166,528 describes an electrodeless ultraviolet sterilizer device excited by a microwave source. The microwave source is a microwave oven wherein a plurality of bulbs are disposed inside the oven for providing an ultraviolet source. In use, the object to be sterilized is placed in the microwave oven. Power is applied causing the bulbs to emit ultraviolet radiation directed at the object.

Alternative methods to sanitize or disinfect substances have been contemplated. Exemplary is the use of ozone which is increasingly being used in water purification, bleaching, and chemical manufacturing processes where a strong oxidizing agent is needed. Typically, ozone is prepared by a device called an ozonizer, which produces ozone by passing an electric spark through a stream of air containing oxygen. Only a small fraction of the air containing oxygen is converted to ozone by this process. The ozone is directed to the surface of an object to be sterilized where it oxidizes the microorganisms on the surface of the object.

Accordingly, it would be desirable to provide an ultraviolet sterilizer having commercial, industrial, medical and personal applications which simultaneously produces ozone as a byproduct of the sterilization product to achieve a synergistic effect in destroying microorganisms.

## Summary of the Invention

The present invention is directed, in a preferred embodiment, to a synergistic ultraviolet sterilizer that satisfies the need for such a sterilizer. It is also directed to an improved electrodeless sterilizer capable of being used in a broad range of applications. There is, therefore, provided according to a preferred embodiment an ultraviolet sanitizing device having a bulb with an elongated ultraviolet transparent inner surface for passing a substance to be sanitized therethrough and an elongated outer surface formed with said inner surface to define a sealed annular region therebetween for containing a gas. A radiant energy source is located in the proximity of the bulb and directed thereto for exciting the gas contained in the bulb and to thereby produce ultraviolet radiation. Substance is defined herein as any gas, liquid, solid or combination thereof.

An attractive feature of the present invention is that by passing a substance through the center of the ultraviolet bulb, enhanced exposure to the sanitizing ultraviolet radiation and elimination of shadowing is achieved thereby reducing the sterilization time and thus reducing the energy dissipated in the process. This feature is ideal for large commercial applications where energy consumption is of paramount concern.

Another attractive feature of the present invention is that ozone may be produced from the sterilization process itself to produce a synergistic effect. The ultraviolet light used to sterilize the substance may be used to produce ozone to effect a further sterilization of the surface.

The present invention may be used on a variety of substances including metal objects. For sterilization of metal objects, a bulb should be used that substantially encloses the object. The bulb provides attenuation of the microwave field and effectively shields the object.

In an alternative embodiment of the present invention, a radiant energy source may be placed in an enclosure with an ultraviolet source. An input feed is provided for introducing air containing oxygen into the enclosure whereby ozone is produced by exciting the air containing oxygen with ultraviolet radiation. A flexible hose is mounted to the output of the enclosure to distribute the ozone to an external substance for oxidizing microorganisms. Preferably, a nozzle is provided to control the discharge rate of the ozone.

In another alternative embodiment of the present invention, a microwave generator feeds a waveguide which terminates into a small ultraviolet bulb. This embodiment provides a means to sterilize or sanitize areas which are not easily accessible such as internal parts of systems, air ducts and pipings. This embodiment may also be useful in therapeutical applications for medical procedures.

## Brief Description of the Drawings

These and other features, aspects and advantages of the present invention will become better understood with regard to the following description, appended claims, and accompanied drawings where:

1 FIG. 1 is a perspective view of an ultraviolet tube sanitizer for sanitizing integrated circuits and other objects;

FIG. 2 is a perspective view of an ultraviolet sanitizer disposed in an air shaft;

FIG. 3 is an ultraviolet sanitizer used in a water sanitizing system;

5 FIG. 4 is an ultraviolet sanitizer designed for dental hand pieces and other small instruments;

FIG. 5 is a perspective view of an ozone generator used to sanitize external objects;

FIG. 6 is a perspective view of an ultraviolet pipe used to sanitize internal parts that are difficult to access;

10 FIG. 7 is a perspective view of an ultraviolet tube excited by a parallel plate configuration; and

FIG. 8 is a perspective view of an ultraviolet tube excited by an inductor.

### Detailed Description

An ultraviolet sanitization embodiment of the present invention is shown in FIG. 1. 15 A glass tube or bulb 2 having a passageway 4 through its center for receiving a substance to be sanitized is mounted onto a supporting structure 6. The glass tube or bulb is made of an ultraviolet transparent material which is typically quartz. The quartz bulb 2 has a dewar type construction having a double wall 8 and 10, in which a region between the walls 12 is evacuated and sealed at both ends. A small amount of mercury is placed in the evacuated 20 region during manufacture. During lamp operation, the mercury vaporizes to a very low pressure. At this pressure, the current through the vapor caused by the radiant energy source incident thereon causes the vapor to radiate energy most strongly at one specific wavelength in the ultraviolet region (253.7 nanometers). A small quantity of filling gas may also be placed in the evacuated region to aid starting in operation. Argon and argon-neon are the 25 most common, but occasionally krypton is used. The filling gases ionize readily when exposed to the radiant energy source. The ionized filling gas quickly decreases the resistance allowing current to flow and the mercury to vaporize.

To enhance the ionization process, it is particularly useful to place a conductor or semiconductor such as a piece of nichrome or tungsten wire (not shown) inside the tube. The 30 wire should be small, e.g., in the range of 0.001 to 0.005 inch diameter and about 1 to 2 inches long, to avoid appreciable heat production in response to the radiant energy source. The wire acts like an antenna and facilitates the breakdown or ionization of gas within the quartz bulb and the emission of ultraviolet light.

35 A magnetron 14 is an exemplary radiant energy source. As shown in FIG. 1 the magnetron is mounted onto the supporting structure 6 and directed to the glass or tube 2. When the magnetron is excited, external radiation falls incident on the quartz bulb 2. This radiation causes the initial ionization of the filling gas which ultimately facilitates the vaporization of mercury.

1 Alternatively, the radiant energy source may comprise a radio frequency  
electromagnetic oscillator for exciting the mercury disposed in the quartz tube 2 by a pair of  
opposed plates 40 as shown in FIG. 7 or a coil 44 as shown in FIG. 8.

5 In operation, a substance such as an integrated circuit may be inserted into the  
passageway 4 of the quartz bulb 2. The magnetron emits microwave energy which causes  
the vaporized mercury disposed within the quartz bulb 2 to release wavelengths of ultraviolet  
energy directed to the substance inside the passageway. In this configuration, the outer wall  
8 of the glass tube 2 must be microwave transparent to couple the radiant energy to the  
mercury. Similarly, the inner wall 10 must be ultraviolet transparent so that the ultraviolet  
10 radiation falls incident upon the substance to be sanitized. This embodiment further has  
commercial applications wherein a plurality of integrated circuits or other substances can be  
fed continuously through the ultraviolet passageway such as on a conveyor belt at a rate  
sufficient to insure that the substance is sanitized.

15 Typically sterilization is achieved in a relatively short time. The sterilization time  
depends on a number of factors, such as the diameter of the passageway, the intensity of the  
ultraviolet radiation, and the microorganisms to be destroyed.

Further sterilization may be achieved by introducing air containing oxygen into the  
ultraviolet field to produce ozone for oxidizing the microorganisms contained in or on the  
substance as it passes through the quartz bulb 2. This produces a synergetic effect as further  
20 sterilization of the substance is effected.

Turning to FIG. 2, the ozone producing effects of the present invention has immediate  
application for sanitizing air in an air duct. In this embodiment, the quartz bulb 2' is  
disposed in an air duct 16 for receiving a continuous air stream containing oxygen. The  
quartz bulb is excited by a microwave source 14' located in close proximity thereto. As the  
25 air passes through the air duct, the ultraviolet radiation falls incident thereon to produce  
ozone from the oxygen in the air. The ozone acts to oxidize microorganisms contained in  
the air flow internal to the air duct 16. The air duct 16 should be coated with a material that  
is ultraviolet reflective to minimize the losses. Preferably, microwave shielding 18 should  
be placed at the input and output of the passageway of the quartz tube 2'.

30 The use of ozone to enhance the sanitization of a liquid substance in conjunction with  
ultraviolet radiation is best shown in FIG. 3. In this embodiment, the ultraviolet sterilization  
device can be inserted in the path of a water inlet for purification purposes. Referring to  
FIG. 3, an enclosure is provided which is formed around the periphery of the quartz bulb  
2' for receiving air containing oxygen therethrough. The quartz bulb 2' is formed with an  
35 extension at its input which extends beyond the end of the enclosure 20 for receiving a steady  
flow of water to be sanitized. The water is passed through the inner passageway 4' of the  
quartz tube 2' where it is subjected to ultraviolet radiation which in turn destroys  
microorganisms contained in the water.

1       The quartz tube 2' is further formed with an orifice 24 downstream from the output  
to form an aspirator. Oxygen or air containing oxygen is drawn into the region defined by  
the outer surface of the quartz bulb 21' and the enclosure where it is acted upon by the  
ultraviolet radiation resulting in the production of ozone. In this embodiment, the quartz  
5       bulb 21' must have an ultraviolet transparent outer surface for producing an ultraviolet field  
across the air containing oxygen for producing ozone. The quantity of ozone produced can  
be controlled by properly doping the quartz bulb by a process well known in the art. The  
enclosure is formed with a neck 26 downstream from the air containing oxygen for drawing  
the ozone into the water to effect a further sanitization thereof. Preferably, a dust filter 28  
10       should be inserted in line with the air containing oxygen flow at the input of the enclosure.  
A radiant energy source, such as a microwave generator 14' may be used to excite the quartz  
bulb 2'. In this configuration, a feed may be utilized to couple the microwave energy to the  
enclosure 20. The enclosure must be microwave transparent at the point of incidence of the  
microwave field. Alternatively the enclosure may be provided with an aperture for coupling  
15       the microwave energy into the enclosure.

Turning to FIG. 4, a dewar type construction quartz bulb is used for sanitizing small  
articles such as dental pieces and the like. The construction of the ultraviolet bulb 30 is  
similar to that described in FIGS. 1-3 except that one end of the passageway is sealed to hold  
a small article 32. The ultraviolet bulb 30 along with the article 32 is placed in a radiant  
20       energy field whereby the mercury contained in the quartz bulb 2' is vaporized to emit  
ultraviolet radiation incident on the article 32 for destroying microorganisms. Additionally,  
the air containing oxygen surrounding the device may be excited by the ultraviolet radiation  
causing ozone to be produced for oxidizing the microorganisms contained on the surface of  
the article 32 as described above. Preferably, the quartz bulb 2' should be tilted at an angle  
25       downward toward its closed end during operation so that a concentration of ozone is formed  
at the bottom of the quartz bulb adjacent to the object to be sterilized to effect further  
sterilization. The tilting of the quartz bulb 2' may be performed by a mechanical apparatus  
or any other means known in the art. The bulb could also be held horizontal for loading and  
tilted upward at the closed end for unloading of the object to be sterilized. In addition, in  
30       a case where the article is a metal object, the quartz bulb 30 attenuates the radiant energy  
source which falls incident on the article 32. This attenuation allows the metal article to be  
sanitized in a microwave enclosure by merely enclosing said article in the quartz bulb.

Referring to FIG. 6, an ultraviolet light pipe is depicted wherein the microwave energy  
is directed to a small ultraviolet bulb 36 by a flexible waveguide 34. In this embodiment the  
35       microwave energy is produced by a microwave generator 38 such as a magnetron or  
cyclotron. The vaporization of the mercury contained in the quartz bulb is initiated by the  
microwave energy delivered to it by the flexible waveguide. Alternatively, an optic feed may  
be used to transmit the ultraviolet radiation from a bulb located at the microwave generator

1 to the point of sterilization or a microwave source may be located at the end of a flexible arm  
and adjacent an ultraviolet bulb. This application is used for sterilizing surfaces of internal  
parts that are not readily accessible such as internal parts of systems, air ducts and pipings.

5 FIG. 5 depicts an ozone generator for delivering ozone to an external substance for  
oxidation of microorganisms in or on the surface of a substance. A plurality of quartz bulbs  
40 is disposed within the ozone generator in an ozone chamber 42. A feed 44 is provided  
to deliver air containing oxygen from the atmosphere to the ozone chamber 42. As described  
above in detail, the ultraviolet radiation incident upon the air containing oxygen produces  
ozone within the ozone chamber. A plurality of exhaust fans 46 are provided to direct the  
10 ozone to an output connector 48. A flexible hose 52 having a mating connector 50 is  
detachably mounting to the external connector of the ozone generator 48. A nozzle 54 is  
connected to the other end of the flexible hosing 52 to control the discharge of ozone onto  
a substance to be sterilized.

15 It is apparent from the foregoing that the present invention satisfies an immediate need  
for an ultraviolet sanitization system using the principles of oxidation to further enhance the  
sanitization process for commercial applications. The present invention satisfies this need  
by providing a feed through ultraviolet generating source in the presence of air containing  
oxygen. This ultraviolet sanitization system may be embodied in other specific forms and  
used with a variety of lighting devices without departing from the spirit or essential attributes  
20 of the present invention. It is therefore, desired that the present embodiment be considered  
in all respects as illustrative and not restrictive, reference being made to the appended claims  
rather than the foregoing description to indicate the scope of the invention.



**WHAT IS CLAIMED IS:**

1. An ultraviolet sanitizing device comprising:
  - an ultraviolet transparent bulb having an elongated inner surface extending around a central axis to form a passage, and an elongated outer surface formed with said inner surface to define a sealed region therebetween, said sealed region being filled with a gas;
  - a radiant energy source directed at said bulb for exciting the gas in the sealed region to produce ultraviolet radiation;
  - means for directing ozone, produced by the ultraviolet radiation acting upon an oxygen containing gas external to the bulb, at a first end of the passage, said ozone having a first pressure; and
  - means for creating a second pressure at the first end of the passage, said second pressure being lower than said first pressure so that the ozone is drawn toward the first end of the passage.
2. The ultraviolet sanitizing device of claim 1 wherein the means for creating a second pressure comprises a tapered orifice at the first end of the passage.
3. The ultraviolet sanitizer device of claim 2 wherein the means for directing ozone comprises an enclosure formed around at least a portion of the bulb.
4. The ultraviolet sanitizing device of claim 3 wherein said enclosure is formed with a neck adjacent to said orifice for facilitating the drawing of the ozone toward the first end of the passage.
5. The ultraviolet sanitizing device of claim 3 wherein said radiant energy source comprises a microwave source.
6. The ultraviolet sanitizing device of claim 5 wherein at least a portion of said enclosure is microwave transparent.
7. The ultraviolet sanitizing device of claim 6 further comprising a feed for coupling said microwave source to said enclosure.
8. The ultraviolet sanitizing device of claim 1 further comprising a wire disposed in said sealed region of said bulb for initiating the ionization process of the gas.
9. The ultraviolet sanitizing device of claim 1 wherein said inner and outer surfaces of the bulb are cylindrical.
10. The ultraviolet sanitizing device of claim 1 wherein said radiant energy source comprises a radio frequency oscillator.
11. A method of sanitizing a substance using ultraviolet radiation emitted from a bulb, said bulb comprising an elongated inner surface extending around a central axis to form a passage, and an elongated outer surface formed with the inner surface to define a sealed region therebetween, said sealed region being filled with a gas, comprising the steps of:
  - exciting the gas to emit ultraviolet radiation;

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1        passing a substance to be treated through the passage so that the substance is exposed to the ultraviolet radiation;

         directing ozone, produced by the ultraviolet radiation acting upon an oxygen containing gas external to the bulb, at a first end of the passage, said ozone having a first pressure; and

5        creating a second pressure at the first end of the passage, said second pressure being lower than said first pressure so that the ozone is drawn toward the first end of the passage.

12. The method of sanitizing a substance of claim 11 wherein the step of creating a second pressure is performed by passing the substance through a tapered orifice at the first end of the passage.

10        13. The method of sanitizing a substance of claim 11 wherein the step of directing the ozone at the first end of the passage comprises forming an enclosure around at least a portion of the bulb.

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## AMENDED CLAIMS

[received by the International Bureau on 04 November 1996 (04.11.96);  
original claims 1,4,7,8 and 10-12 amended;  
original claims 2,3,5,6,9 and 13 cancelled;  
new claims 20-25 added (2 pages)]

1. An ultraviolet sanitizing device comprising:
  - an ultraviolet transparent bulb having an elongated inner surface extending around a central axis to form a passage having an inlet and an outlet, and an elongated outer surface formed with said inner surface to define a sealed region therebetween, said sealed region being filled with a gas;
  - a radiant energy source directed at said bulb for ionizing the gas in the sealed region to produce ultraviolet radiation;
  - means for receiving an oxygen containing gas external to the bulb, the ultraviolet radiation acting upon the oxygen containing gas external to the bulb to produce ozone, said ozone having a first pressure; and
  - means for creating a second pressure at the outlet of the passage, said second pressure being lower than said first pressure so that the ozone is drawn toward the outlet of the passage.
4. The ultraviolet sanitizing device of claim 21 wherein said radiant energy source comprises a microwave source.
7. The ultraviolet sanitizing device of claim 21 wherein said enclosure is formed with a neck adjacent to said orifice for facilitating the drawing of the ozone toward the first end of the passage.
8. The ultraviolet sanitizing device of claim 4 wherein at least a portion of said enclosure is microwave transparent.
10. The ultraviolet sanitizing device of claim 8 further comprising a feed for coupling microwaves emitted by said microwave source to said enclosure.
11. The ultraviolet sanitizing device of claim 1 further comprising a wire disposed in said sealed region of said bulb for initiating the ionization process of the gas.
12. The ultraviolet sanitizing device of claim 1 wherein said inner and outer surfaces of the bulb are cylindrical.
20. The ultraviolet sanitizing device of claim 1 wherein the means for creating a second pressure comprises a tapered orifice at the outlet of the passage.

1           21. The ultraviolet sanitizer device of claim 20 wherein the means for receiving  
comprises an enclosure formed around the bulb.

5           22. The ultraviolet sanitizing device of claim 1 wherein said radiant energy source  
comprises a radio frequency oscillator.

23. A method of sanitizing a substance using ultraviolet radiation emitted from a bulb,  
comprising the steps of:

10           providing a bulb comprising an elongated inner surface extending around a central axis  
to form a passage through which a substance to be sanitized passes, and an elongated outer  
surface formed with the inner surface to define a sealed region therebetween, said sealed region  
being filled with a gas;

          ionizing the gas to emit ultraviolet radiation;

15           passing a substance to be treated through the passage so that the substance is exposed to  
the ultraviolet radiation;

          receiving an oxygen containing gas external to the bulb;

          exposing said oxygen containing gas external to the bulb to said ultraviolet radiation to  
produce ozone, said ozone having a first pressure;

20           creating a second pressure at the outlet of the passage, said second pressure being lower  
than said first pressure so that the ozone is drawn toward the outlet of the passage. --

24. The method of sanitizing a substance of claim 21 wherein the step of creating a  
second pressure is performed by passing the substance through a tapered orifice at the outlet of  
the passage.

25           25. The method of sanitizing a substance of claim 24 wherein the step of receiving the  
ozone comprises forming an enclosure around the bulb.

30           GMO 59992.1.\*-11/4/96 3:53 pm

**STATEMENT UNDER ARTICLE 19**

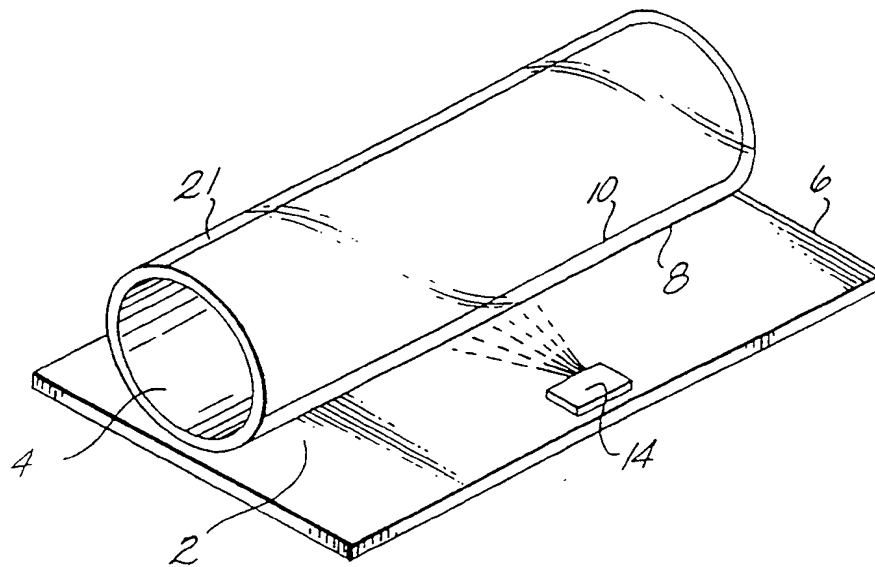
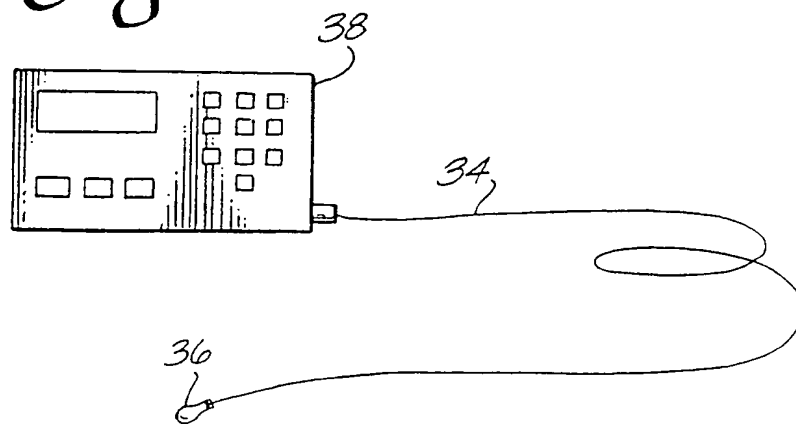
The amended claims in this international application distinguish over the references cited in the ISR mailed by the ISA on 4 September 1996.

The amended claims relate to a method and apparatus for treating substances with both ultraviolet radiation ("UV") and ozone. The apparatus has an annular UV bulb adapted to pass a substance along the inner surface. The apparatus is configured to allow ozone formation external to the UV bulb and direct the ozone to the end of the bulb where a low pressure region is created to draw the ozone toward the substance as it exits.

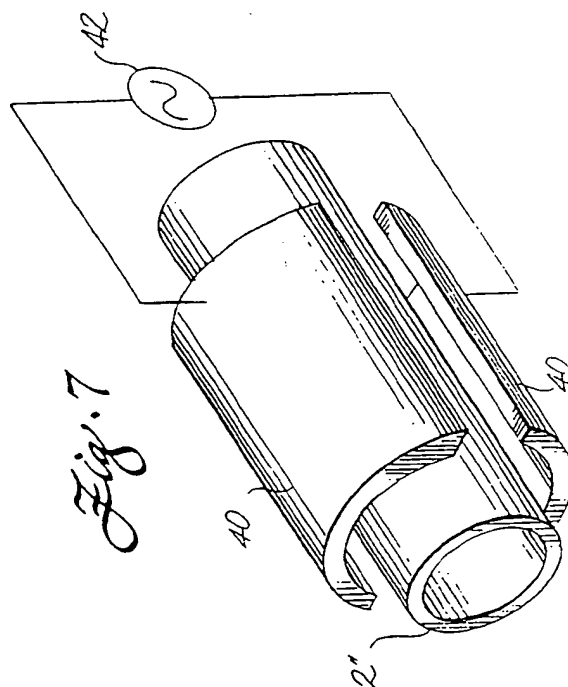
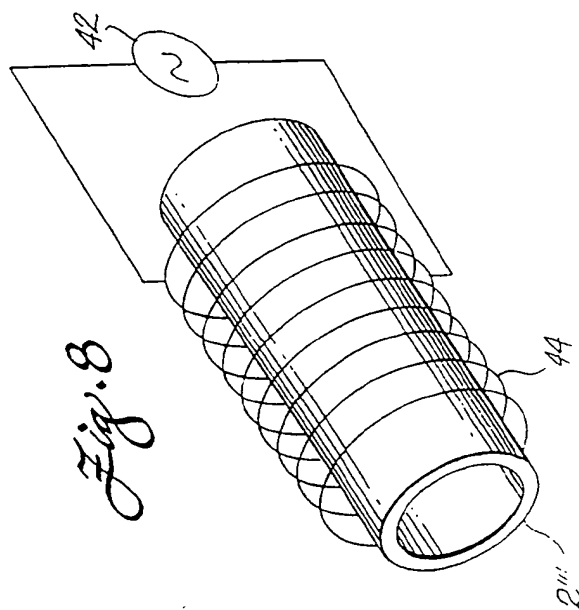
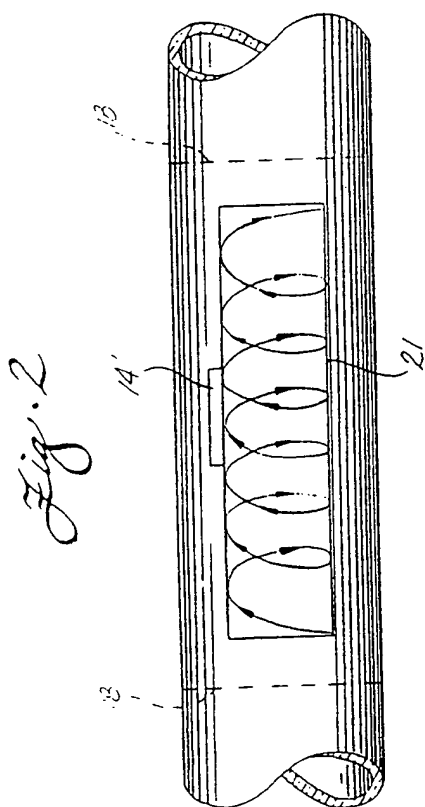
In contrast to prior art devices of the past, the apparatus and method utilizes the ozone byproduct of the UV to effect a more efficient sanitization of the substance thereby reducing the power requirements that would otherwise be needed. As a result, improved packaging and reduced cost can be achieved. This makes it ideally suited for commercial effluent water applications where cost and size constraints are of paramount importance.

The references cited in the ISR include U.S. Patent No. 3,911,318 by Spero et al., U.S. Patent No. 5,166,528 by LeVay, and U.S. Patent No. 5,387,400 by Pelster. LeVay is directed to a sanitization process using UV radiation in a conventional microwave oven. Pelster discloses the treatment of water with ozone. Spero is directed to a system which purports to generate UV radiation by a collisionless reaction. However, none of the references disclose or suggest, either alone or in combination, the combining of UV radiation with the ozone byproduct to enhance the sterilization process.

In view of this fundamental difference between Applicant's invention and the prior art of record, discussion of other differences is believed unnecessary at the present stage.

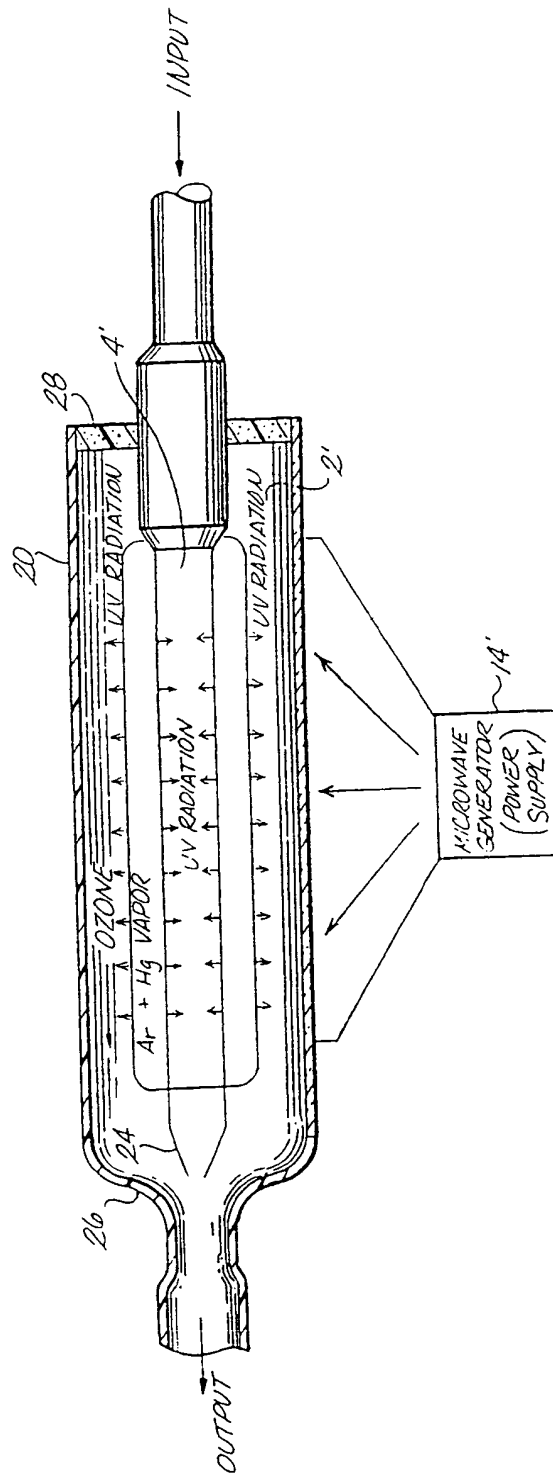
*Fig. 1**Fig. 6*

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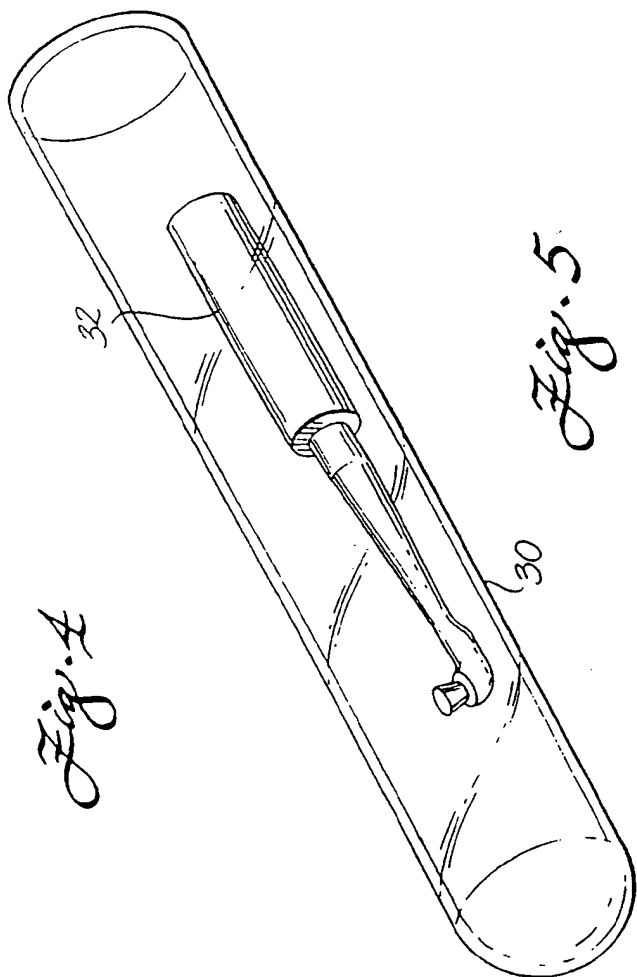


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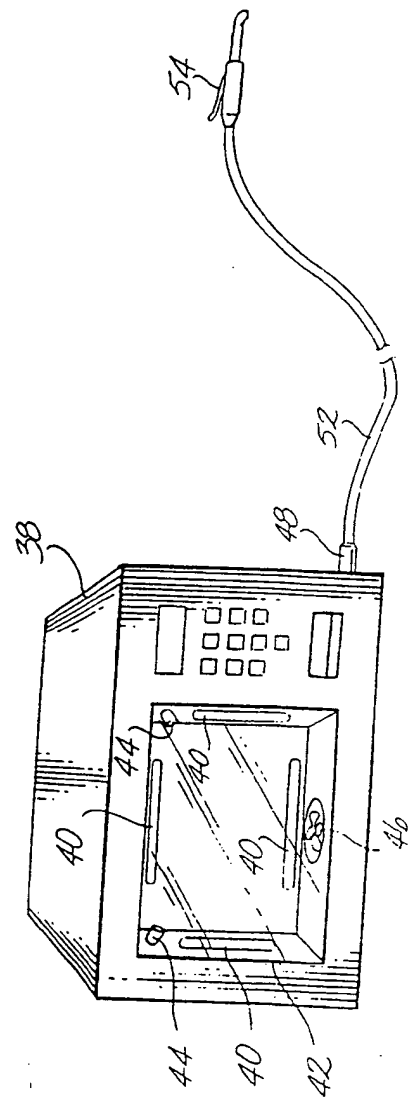
Fig. 3







*Fig. 5*



# INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US96/09601

## A. CLASSIFICATION OF SUBJECT MATTER

IPC(6) : A61L 2/10, 2/20.; HOIJ 37/20

US CL : 422/24; 250/455.11; 315/639

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 422/24, 121; 250/455.11, 504R, 374, 375; 313/639

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched  
NONE

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)  
NONE

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	US 3,911,318 A (D.M. SPERO ET AL) 07 October 1975 (07.10.75), see entire document.	1-13
Y	US 5,387,400 A (D.E. PELSTER) 07 February 1995 (07.02.95), see entire document.	1-13
Y	US 5,166,528 A (LE VAY) 24 November 1992 (24.11.92), see entire document.	1-13

☐ Further documents are listed in the continuation of Box C. ☐ See patent family annex.

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